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PTO/SB/21 (04-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Moderwork . Under th ct of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/629,935 TRANSMITTAL Filing Date 29-Jul-2003 **FORM** First Named Inventor Steve R. Martin et al Art Unit (to be used for all correspondence after initial filing) 2651 **Examiner Name** Fred Tzeng Attorney Docket Number STL11269 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): copy of Recordation and Assignment, Request for Refund **Express Abandonment Request** Request for Correction & Certificate CD. Number of CD(s) Information Disclosure Statement of Correction Remarks Certified Copy of Priority Document(s) Certificate Response to Missing Parts/ Incomplete Application FEB 1 6 2006 Response to Missing Parts under 37 CFR 1.52 or 1.53 of Correction SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Technology/LL Individual name 47,479 Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Rhonda Carl Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#### CERTIFICATE OF CORRECTION UNDER 1.322

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Steve R. Martin et al.

Date:

February 8, 2006

S.N.:

10/629,935

Docket No.:

STL 11269.00

Filed:

7-29-2003

Examiner:

Fred Tzeng

Patent No.: 6,985,324

Issued:

1-10-2006

For:

COUNTERACTING GAS INGESTION IN A HYDRODYNAMIC BEARING

SPINDLE MOTOR

Art Unit:

2651

### REQUEST FOR CERTIFICATE OF CORRECTION -UNDER 37 CFR 1.322

Commissioner for Patents Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In conformity with 37 C.F.R. § 1.322, Applicant hereby requests a Certificate of Correction in connection with the above identified patent.

Bradley J. Gill should also be listed on this patent as an inventor.

Form PTO-1050 entitled CERTIFICATE OF CORRECTION setting out the printer's errors is completed and is enclosed. It is respectfully requested that the enclosed Certificate be approved and signed by an Attesting Officer, and that a copy be returned to Applicant's attorney for attachment to the original Certificate of Letters Patent.

The error listed is a non-Applicant mistake; no fee is necessary in connection with this certificate.

1

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

## **CERTIFICATE OF CORRECTION**

PATENT NO.

6,985,324

DATED

1-10-2006

INVENTOR(S)

Martin et al

It is certified that an error appears in the above identified patent and that said Letters Patent is hereby corrected as shown below:

In (75) Inventors:

Steve R. Martin, Louisville, CO (US) James A. Herbst, Longmont, CO (US) Eric N. Heiney, Loveland, CO (US) Bradley J. Gill, Longmont, CO (US)

MAILING ADDRESS OF SENDER: Seagate Technology LLC Intellectual Property Department – SHK2LG 1280 Disc Drive Shakopee, MN 55379

PATENT NO. 6,985,324

FORM PTO 1050 (rev.2-93)

Respectfully submitted

By:\_\_\_

Kirk A//Cesari Reg. No. 47,479

Intellectual Property Department - SHK2LG

Seagate Technology LLC

1280 Disc Drive

Shakopee, MN 55379

Telephone: (952) 402-3534 Attorney for Applicants

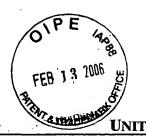
Please address all correspondence to: Seagate Technology LLC Intellectual Property Department – SHK2LG 1280 Disc Drive Shakopee, MN 55379

Phone: (952) 402-3534 Fax: (952) 402-2657

<u>CERTIFICATE UNDER 37 CFR 1.8:</u> The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on February 8, 2006.

By: Rhonda Carl

Rhonda Carl





# RECEIVED ntellectual Property Dept.

MAR 2 8 2005

UNITED STATES PATENT AND TRADEMARK OFFICE

LAF

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND THE P.
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MARCH 21, 2005

**PTAS** 

\*102842654A\*

SEAGATE TECHNOLOGY, LLC KIRK A. CESARI 1280 DISC DRIVE SHK2LG SHAKOPEE, MN 55379

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/20/2004

REEL/FRAME: 015799/0342

NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MARTIN, STEVE R.

DOC DATE: 08/30/2004

**ASSIGNOR:** 

HERBST, JAMES A.

DOC DATE: 08/30/2004

ASSIGNOR:

HEINEY, ERIC N.

DOC DATE: 08/30/2004

ASSIGNOR:

GILL, BRADLEY J.

DOC DATE: 08/30/2004

ASSIGNEE:

SEAGATE TECHNOLOGY LLC 920 DISC DRIVE SCOTTS VALLEY, CALIFORNIA 95066 015799/0342 PAGE 2

SERIAL NUMBER: 10629935 FILING DATE: 07/29/2003

PATENT NUMBER: ISSUE DATE:

TITLE: COUNTERACTING GAS INGESTION IN A HYDRODYNAMIC BEARING SPINDLE MOTOR

KIMBERLY WHITE, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

| Form PTO 1595 (Ref. 106/04)  OMB 145 10651-0023 (exp. 6/30/2005)  OMB 145 10651-0023 (exp. 6/30/2005) | U.S. DEPARTMENT OF COMMERCE United States Patent and Trademark Office    |
|---|--|
| FEB 1 9 2006  |  |
| Nothe Directocythie U.S. Ratentand Tu.S. 10284  | 2654 documents or the new address(es) below.                             |
| 1. Name of Conveying party (les)/Execution Date(s):   | 2. Name and address of receiving party(ies)                              |
| Steve R. Martin James A. Herbst   | Seagate Technology LLC   |
| Eric N. Heiney  | Internal Address:  |
|   |  |
| August 30, 2004   | Street Address: 920 Disc Drive   |
| Additional name(s) of conveying party(ies) attached? X Yes No   |  |
| 3. Nature of conveyance:  |  |
| X Assignment Merger   | City: Scotts Valley  |
| Security Agreement Change of Name   | State: CA  |
| Government Interest Assignment  | Country: US Zip: 95066   |
| Executive Order 9424, Confirmatory License  |  |
| Other   | Additional name(s) & address(es) attached? Yes No.                       |
|   | document is being filed together with a new application.                 |
| A. Patent Application No.(s) 10/629,935   | B. Patent No.(s)   |
|   |  |
|   |  |
| Additional numbers at   | tached? Yes XNo  |
| 5. Name and address to whom correspondence concerning document should be mailed:                      | 6. Total number of applications and patents involved:                    |
| Name: Seagate Technology LLC  | 7. Total fee (37 CFR 40.00   |
| Internal Address: SHK2LG  | Authorized to be charged by credit card                                  |
|   | X Authorized to be charged to deposit account                            |
| Street Address: 1280 Disc Drive   |  |
|   | None required (government interest not affecting title)                  |
| City: Shakopee  | 8. Payment Information   |
| State: <u>MN</u> Zip: 55379   | Credit Card Last 4 Numbers<br>Expiration Date                            |
| Phone Number: <u>952-402-3436</u>   | Deposit Account Number 19-1038   |
| Fax Number: 952-2657  |  |
| Email Address:  | Authorized User Name Seagate Technology LLC                              |
| 9. Signature:   | 9-14- 09<br>Date   |
| 0/22/2004 ECOOPER 00000068 191038 10629935 Signature  |  |
| Kirk A. Cesari  | Total number of pages including cover sheet, attachments, and documents: |

|  | Form PTO-1595)                                   |
|--|--|
| . Name of conveying party(ies):  | · · · · · · · · · · · · · · · · · · ·            |
| Bradley J. Gill  |  |
|  |  |
|  |  |
|  |  |
| Additional name(s) of conveying party(ies) attached?   | Yes 🖾 No   |
| Name and address of receiving party(ies)   |  |
| Name:  |  |
| Internal Address:  |  |
| Street Address:  |  |
| City: State:   | ZIP:   |
| <u></u>  |  |
| Internal Address: Street Address: City: State:   |  |
| Additional name(s) & address(es) attached?   | Yes X No   |
|  |  |
| Application number(s) or patent number(s):  If this document is being filed together with a new application No.(s) | ation, the execution date of the application is: |
|  | ·  |
| If this document is being filed together with a new application  | ·  |

#### **ASSIGNMENT**

Seagate Docket No.:

STL11269

WHEREAS, I/we Steve R. Martin of 1005Honeysuckle Ln, Louisville, CO 80027, James A. Herbst of 2531 Stratford Ln, Longmont CO 80503, Eric N. Heiney of 6204 Kelly Beth Circle, Loveland, CO 80537, and Bradley J. Gill of 789 Glenarbor Circle, Longmont, CO 80501 are the inventor(s) of an invention entitled <u>COUNTERACTING GAS INGESTION IN A HYDRODYNAMIC BEARING SPINDLE MOTOR</u> that is the subject matter of: (check all that apply)

| Ш | a provisional application for Letters Patent which is identifiable in the United States Patent and Trademark Of | ffice |
|---|---|-------|
|   | by Application Nofiled on 200;  |       |
| Ø | an application for Letters Patent which is identifiable in the United States Patent and Trademark Office by     |       |
|   | Application No. <u>10/629,935</u> filed on <u>29-Jul-2003</u> and/or executed on even date herewith: and        |       |
|   | an international application for Letters Patent filed pursuant to the Patent Cooperation Treaty which is        |       |
|   | dentifiable in the United States Receiving Office by Application No filed on                                    |       |
|   | ; and/or executed on even date herewith:  |       |
|   |   |       |

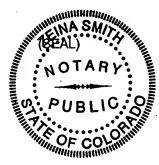
WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my/our entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.

R. Mark Subscribed and sworn to before me at Snammt a Notary Public, this 3/2 day of June 2004. Zeina Smith, Notary Public State of Colorado My Commission Expires 12/2/2005 and sworn to before me at 📿 a Notary Public, MINIMUM CINA COM lexary Public Zeina Smith, Notary Public State of Colorado My Commission Expires 12/2/2005 Subscribed and sworn to before me at Angmont this 2014 day of Level 2004 a Notary Public, HAMINIAMAN SALVA Zeina Smith, Notary Public State of Colorado My Commission Expires 12/2/2005 Subscribed and sworn to before me at Xonoment a Notary Public, this 3/2 day of lugue 2004.



Zeina Smith, Notary Public State of Oolorado My Oommission Expires 12/2/2005

THE ZENG

totary Public